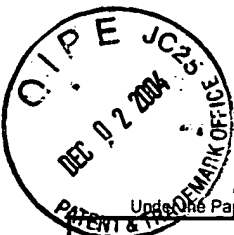


61.



AF/A

PTO/SB/31 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number (Optional)  
ASI0002-US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_.

Signature \_\_\_\_\_

Typed or printed  
name \_\_\_\_\_

In re Application of  
Norman D. GEDDES

Application Number  
09/598,240

Filed  
June 21, 2000

For METHOD AND SYSTEM FOR PROVIDING AN INTELLIGENT  
GOAL-ORIENTED USER INTERFACE TO DATA AND SERVICES

Art Unit  
3623

Examiner  
IRSHADULLAH, M.
Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 340

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:

\$ 170

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.  
I have enclosed a duplicate copy of this sheet.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 501458. I have enclosed a duplicate copy of this sheet.

☒ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest.

See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)

☒ attorney or agent of record.
Registration number 33,014.
☐ attorney or agent acting under 37 CFR 1.34.

Registration number if acting under 37 CFR 1.34. \_\_\_\_\_

Signature

George T. Marcou

Typed or printed name

202 508 5800

Telephone number

December 2, 2004

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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12/03/2004 CNGUYEN 00000037 09598240

01 FC:2401

170.00 DP



| <b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b><br><b>FY 2005</b><br><b>(fees effective on or after October 1, 2004)</b>  |            | Docket Number (Optional)<br>ASI0002-US |              |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
|---|------------|--|--------------|------------|-------------------------|--|--|-------|------|---------|---|-------|-------|---------|--|-------|-------|--------------|--|--------|-------|---------|--|--------|--------|---------|
| Application Number 09/598,240   |            | Filed 6/21/00                          |              |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| For <b>METHOD AND SYSTEM FOR PROVIDING AN INTELLIGENT GOAL-ORIENTED USER INTERFACE TO DATA AND SERVICES</b>   |            |  |              |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| Art Unit 3623   |            | Examiner IRSHADULLAH, M.               |              |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table><thead><tr><th></th><th><u>Fee</u></th><th><u>Small Entity Fee</u></th><th></th></tr></thead><tbody><tr><td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td><td>\$110</td><td>\$55</td><td>\$_____</td></tr><tr><td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td><td>\$430</td><td>\$215</td><td>\$_____</td></tr><tr><td><input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td><td>\$980</td><td>\$490</td><td><u>\$490</u></td></tr><tr><td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td><td>\$1530</td><td>\$765</td><td>\$_____</td></tr><tr><td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td><td>\$2080</td><td>\$1040</td><td>\$_____</td></tr></tbody></table> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>501458</u>. I have enclosed a duplicate copy of this sheet.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <p>I am the <input type="checkbox"/> applicant/inventor.<br/><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71<br/>Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).<br/><input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>33,014</u><br/><input type="checkbox"/> attorney or agent under 37 CFR 1.34.<br/>Registration number if acting under 37 CFR 1.34. _____</p> <p><br/>_____<br/>George T. Marcou<br/>Typed or printed name</p> <p><u>12/2/04</u><br/>_____<br/>Date<br/>202 508 5800<br/>Telephone Number</p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</p> <p><input type="checkbox"/> Total of _____ forms are submitted.</p> |            |  |              | <u>Fee</u> | <u>Small Entity Fee</u> |  | <input type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$110 | \$55 | \$_____ | <input type="checkbox"/> Two months (37 CFR 1.17(a)(2)) | \$430 | \$215 | \$_____ | <input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$980 | \$490 | <u>\$490</u> | <input type="checkbox"/> Four months (37 CFR 1.17(a)(4)) | \$1530 | \$765 | \$_____ | <input type="checkbox"/> Five months (37 CFR 1.17(a)(5)) | \$2080 | \$1040 | \$_____ |
|   | <u>Fee</u> | <u>Small Entity Fee</u>                |              |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1))  | \$110      | \$55                                   | \$_____      |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))   | \$430      | \$215                                  | \$_____      |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))  | \$980      | \$490                                  | <u>\$490</u> |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))  | \$1530     | \$765                                  | \$_____      |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))  | \$2080     | \$1040                                 | \$_____      |            |                         |  |  |       |      |         |   |       |       |         |  |       |       |              |  |        |       |         |  |        |        |         |

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |   |                      |                  |
|--|---|----------------------|------------------|
| Total Number of Pages in This Submission | 4 | Application Number   | 09/598,240       |
|  |   | Filing Date          | June 21, 2000    |
|  |   | First Named Inventor | Norman D. GEDDES |
|  |   | Art Unit             | 3623             |
|  |   | Examiner Name        | IRSHADULLAH, M.  |
| Attorney Docket Number                   |   | ASI0002-US           |                  |

### ENCLOSURES (check all that apply)

|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input checked="" type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <div><b>RECEIVED</b><br/>DEC 07 2004<br/><b>GROUP 3600</b></div>   |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

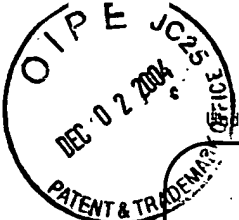
|              |                         |          |        |
|--------------|-------------------------|----------|--------|
| Firm         | KILPATRICK STOCKTON LLP |          |        |
| Signature    |                         |          |        |
| Printed Name | George T. Marcou        |          |        |
| Date         | 12/2/04                 | Reg. No. | 33,014 |

### CERTIFICATE OF TRANSMISSION/MAILING

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|                       |  |      |  |
|-----------------------|--|------|--|
| Signature             |  |      |  |
| Typed or printed name |  | Date |  |

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 660

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 09/598,240               |
| Filing Date          | June 21, 2000            |
| First Named Inventor | Norman D. GEDDES, et al. |
| Examiner Name        | IRSHADULLAH, M.          |
| Art Unit             | 3623                     |
| Attorney Docket No.  | ASI0002-US               |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit Account Number 501458

Deposit Account Name KILPATRICK STOCKTON LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1)

(\$) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20 \*\* =  0 X  =  0

Independent Claims  -3 \*\* =  0 X  =  0

Multiple Dependent  X  =  0

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 430      | 2252         | 215      | Extension for reply within second month                                    |          |
| 1253         | 980      | 2253         | 490      | Extension for reply within third month                                     | 490      |
| 1254         | 1,530    | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255         | 2,080    | 2255         | 1,040    | Extension for reply within fifth month                                     |          |
| 1401         | 340      | 2401         | 170      | Notice of Appeal   | 170      |
| 1402         | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403         | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,370    | 2453         | 685      | Petition to revive - unintentional   |          |
| 1501         | 1,370    | 2501         | 685      | Utility issue fee (or reissue)   |          |
| 1502         | 490      | 2502         | 245      | Design issue fee   |          |
| 1503         | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (c)                                       |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Sheet                                 |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 660

## SUBMITTED BY

## Complete (if applicable)

|                   |                  |                                   |         |           |              |
|-------------------|------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | George T. Marcou | Registration No. (Attorney/Agent) | 33,014  | Telephone | 202 588 5800 |
| Signature         |                  | Date                              | 12/2/04 |           |              |

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